

Sun Life NWQ Flexible Income Fund

Vote Summary Report

Date range covered : 07/01/2019 to 07/31/2019

Ares Capital Corporation

Meeting Date: 07/08/2019

Record Date: 04/08/2019

Primary CUSIP: 04010L103

Country: USA

Meeting Type: Special

Primary ISIN: US04010L1035

Shares Voted: 35,800

Primary Security ID: 04010L103

Ticker: ARCC

Primary SEDOL: B032FN0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Issuance of Shares Below Net Asset Value (NAV)	Mgmt	For	For	For

NWQ INVESTMENT MANAGEMENT COMPANY - 296361_Sun Life NWQ Flexible Income Fund

Vote Summary Report

Date range covered : 10/01/2019 to 10/31/2019

Seagate Technology plc

Meeting Date: 10/29/2019

Record Date: 09/03/2019

Primary CUSIP: G7945M107

Country: Ireland

Meeting Type: Annual

Primary ISIN: IE00B58JVZ52

Shares Voted: 7,300

Primary Security ID: G7945M107

Ticker: STX

Primary SEDOL: B58JVZ5

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director William D. Mosley	Mgmt	For	For	For
1b	Elect Director Stephen J. Luczo	Mgmt	For	For	For
1c	Elect Director Mark W. Adams	Mgmt	For	For	For
1d	Elect Director Judy Bruner	Mgmt	For	For	For
1e	Elect Director Michael R. Cannon	Mgmt	For	For	For
1f	Elect Director William T. Coleman	Mgmt	For	For	For
1g	Elect Director Jay L. Geldmacher	Mgmt	For	For	For
1h	Elect Director Dylan G. Haggart	Mgmt	For	For	For
1i	Elect Director Stephanie Tilenius	Mgmt	For	For	For
1j	Elect Director Edward J. Zander	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	For	For
5	Authorize Board to Allot and Issue Shares	Mgmt	For	For	For
6	Authorize Board to Opt-Out of Statutory Pre-Emption Rights	Mgmt	For	For	For

Vote Summary Report

Date range covered : 10/01/2019 to 10/31/2019

Seagate Technology plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Determine Price Range for Reissuance of Treasury Shares	Mgmt	For	For	For

NWQ INVESTMENT MANAGEMENT COMPANY - 296361_Sun Life NWQ Flexible Income Fund

Vote Summary Report

Date range covered : 02/01/2020 to 02/29/2020

Siemens AG

Meeting Date: 02/05/2020

Country: Germany

Primary Security ID: D69671218

Record Date: 01/15/2020

Meeting Type: Annual

Ticker: SIE

Primary CUSIP: D69671218

Primary ISIN: DE0007236101

Primary SEDOL: 5727973

Shares Voted: 23,840

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Receive Financial Statements and Statutory Reports for Fiscal 2018/19 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 3.90 per Share	Mgmt	For	For	For
3a	Approve Discharge of Management Board Member Joe Kaeser for Fiscal 2018/19	Mgmt	For	For	For
3b	Approve Discharge of Management Board Member Roland Busch for Fiscal 2018/19	Mgmt	For	For	For
3c	Approve Discharge of Management Board Member Lisa Davis for Fiscal 2018/19	Mgmt	For	For	For
3d	Approve Discharge of Management Board Member Klaus Helmrich for Fiscal 2018/19	Mgmt	For	For	For
3e	Approve Discharge of Management Board Member Janina Kugel for Fiscal 2018/19		Mgmt	For	ForFor
3f	Approve Discharge of Management Board Member Cedrik Neike for Fiscal 2018/19	Mgmt	For	For	For
3g	Approve Discharge of Management Board Member Michael Sen for Fiscal 2018/19	Mgmt	For	For	For
3h	Approve Discharge of Management Board Member Ralf Thomas for Fiscal 2018/19	Mgmt	For	For	For

NWQ INVESTMENT MANAGEMENT COMPANY - 296361_Sun Life NWQ Flexible Income Fund

Vote Summary Report

Date range covered : 02/01/2020 to 02/29/2020

Siemens AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4a	Approve Discharge of Supervisory Board Member Jim Hagemann Snabe for Fiscal 2018/19	Mgmt	For	For	For
4b	Approve Discharge of Supervisory Board Member Birgit Steinborn for Fiscal 2018/19	Mgmt	For	For	For
4c	Approve Discharge of Supervisory Board Member Werner Wenning for Fiscal 2018/19	Mgmt	For	For	For
4d	Approve Discharge of Supervisory Board Member Werner Brandt for Fiscal 2018/19	Mgmt	For	For	For
4e	Approve Discharge of Supervisory Board Member Michael Diekmann for Fiscal 2018/19	Mgmt	For	For	For
4f	Approve Discharge of Supervisory Board Member Andrea Fehrmann for Fiscal 2018/19	Mgmt	For	For	For
4g	Approve Discharge of Supervisory Board Member Reinhard Hahn (until January 30, 2019) for Fiscal 2018/19	Mgmt	For	For	For
4h	Approve Discharge of Supervisory Board Member Bettina Haller for Fiscal 2018/19	Mgmt	For	For	For
4i	Approve Discharge of Supervisory Board Member Robert Kensbock for Fiscal 2018/19	Mgmt	For	For	For
4j	Approve Discharge of Supervisory Board Member Harald Kern for Fiscal 2018/19	Mgmt	For	For	For
4k	Approve Discharge of Supervisory Board Member Juergen Kerner for Fiscal 2018/19	Mgmt	For	For	For
4l	Approve Discharge of Supervisory Board Member Nicola Leibinger-KammueLLer for Fiscal 2018/19	Mgmt	For	For	For
4m	Approve Discharge of Supervisory Board Member Benoit Potier for Fiscal 2018/19	Mgmt	For	For	For
4n	Approve Discharge of Supervisory Board Member Hagen Reimer (from January 30, 2019) for Fiscal 2018/19	Mgmt	For	For	For

NWQ INVESTMENT MANAGEMENT COMPANY - 296361_Sun Life NWQ Flexible Income Fund

Vote Summary Report

Date range covered : 02/01/2020 to 02/29/2020

Siemens AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4o	Approve Discharge of Supervisory Board Member Norbert Reithofer for Fiscal 2018/19	Mgmt	For	For	For
4p	Approve Discharge of Supervisory Board Member Dame Shafik for Fiscal 2018/19	Mgmt	For	For	For
4q	Approve Discharge of Supervisory Board Member Nathalie von Siemens for Fiscal 2018/19	Mgmt	For	For	For
4r	Approve Discharge of Supervisory Board Member Michael Sigmund for Fiscal 2018/19	Mgmt	For	For	For
4s	Approve Discharge of Supervisory Board Member Dorothea Simon for Fiscal 2018/19	Mgmt	For	For	For
4t	Approve Discharge of Supervisory Board Member Matthias Zachert for Fiscal 2018/19	Mgmt	For	For	For
4u	Approve Discharge of Supervisory Board Member Gunnar Zukunft for Fiscal 2018/19	Mgmt	For	For	For
5	Ratify Ernst & Young GmbH as Auditors for Fiscal 2019/20	Mgmt	For	For	For
6	Approve Remuneration Policy	Mgmt	For	For	For
7	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For
8	Authorize Use of Financial Derivatives when Repurchasing Shares	Mgmt	For	For	For
9	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 15 Billion; Approve Creation of EUR 180 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	For	For	For
10	Approve Affiliation Agreement with Subsidiary Siemens Mobility GmbH	Mgmt	For	For	For
11	Additional Proposals Presented at the Meeting	SH	Against	Against	Against
12	Additional Proposals Presented at the Meeting	SH	Against	Against	Against

NWQ INVESTMENT MANAGEMENT COMPANY - 296361_Sun Life NWQ Flexible Income Fund

Vote Summary Report

Date range covered : 02/01/2020 to 02/29/2020

Siemens AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
A	Counter Motion A	SH	Against	Against	Against
B	Counter Motion B	SH	Against	Against	Against
C	Counter Motion C	SH	Against	Against	Against
D	Counter Motion D	SH	Against	Against	Against
E	Counter Motion E	SH	Against	Against	Against
F	Counter Motion F	SH	Against	Against	Against
G	Counter Motion	SH	Against	Against	Against
H	Counter Motion	SH	Against	Against	Against
I	Counter Motion	SH	Against	Against	Against

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020
 Location(s): NWQ Investment Management Company, LLC
 Institution Account(s): Sun Life NWQ Flexible Income Fund

Apartment Investment and Management Company

Meeting Date: 04/28/2020

Country: USA

Primary Security ID: 03748R754

Record Date: 02/21/2020

Meeting Type: Annual

Ticker: AIV

Shares Voted: 13,606

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Terry Considine	Mgmt	For	For	For
1.2	Elect Director Thomas L. Keltner	Mgmt	For	For	For
1.3	Elect Director Robert A. Miller	Mgmt	For	For	For
1.4	Elect Director Devin I. Murphy	Mgmt	For	For	For
1.5	Elect Director Kathleen M. Nelson	Mgmt	For	For	For
1.6	Elect Director John D. Rayis	Mgmt	For	For	For
1.7	Elect Director Ann Sperling	Mgmt	For	For	For
1.8	Elect Director Michael A. Stein	Mgmt	For	For	For
1.9	Elect Director Nina A. Tran	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Approve Nonqualified Employee Stock Purchase Plan	Mgmt	For	For	For

AstraZeneca Plc

Meeting Date: 04/29/2020

Country: United Kingdom

Primary Security ID: G0593M107

Record Date: 02/26/2020

Meeting Type: Annual

Ticker: AZN

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020

Location(s): NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

AstraZeneca Plc

Shares Voted: 47,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Dividends	Mgmt	For	For	For
3	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
5a	Re-elect Leif Johansson as Director	Mgmt	For	For	For
5b	Re-elect Pascal Soriot as Director	Mgmt	For	For	For
5c	Re-elect Marc Dunoyer as Director	Mgmt	For	For	For
5d	Re-elect Genevieve Berger as Director	Mgmt	For	For	For
5e	Re-elect Philip Broadley as Director	Mgmt	For	For	For
5f	Re-elect Graham Chipchase as Director	Mgmt	For	For	For
5g	Elect Michel Demare as Director	Mgmt	For	For	For
5h	Re-elect Deborah DiSanzo as Director	Mgmt	For	For	For
5i	Re-elect Sheri McCoy as Director	Mgmt	For	For	For
5j	Re-elect Tony Mok as Director	Mgmt	For	For	For
5k	Re-elect Nazneen Rahman as Director	Mgmt	For	For	For
5l	Re-elect Marcus Wallenberg as Director	Mgmt	For	For	For
6	Approve Remuneration Report	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020
 Location(s): NWQ Investment Management Company, LLC
 Institution Account(s): Sun Life NWQ Flexible Income Fund

AstraZeneca Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Remuneration Policy	Mgmt	For	For	For
8	Authorise EU Political Donations and Expenditure	Mgmt	For	For	For
9	Authorise Issue of Equity	Mgmt	For	For	For
10	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
11	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
12	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
13	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
14	Approve Performance Share Plan	Mgmt	For	For	For

CNA Financial Corporation

Meeting Date: 04/29/2020 **Country:** USA **Primary Security ID:** 126117100
Record Date: 03/06/2020 **Meeting Type:** Annual **Ticker:** CNA
Shares Voted: 13,100

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Michael A. Bless	Mgmt	For	For	For
1.2	Elect Director Jose O. Montemayor	Mgmt	For	For	For
1.3	Elect Director Don M. Randel	Mgmt	For	For	For
1.4	Elect Director Andre Rice	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020
 Location(s): NWQ Investment Management Company, LLC
 Institution Account(s): Sun Life NWQ Flexible Income Fund

CNA Financial Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.5	Elect Director Dino E. Robusto	Mgmt	For	Withhold	Withhold
1.6	Elect Director Kenneth I. Siegel	Mgmt	For	Withhold	Withhold
1.7	Elect Director Andrew H. Tisch	Mgmt	For	Withhold	Withhold
1.8	Elect Director Benjamin J. Tisch	Mgmt	For	Withhold	Withhold
1.9	Elect Director James S. Tisch	Mgmt	For	Withhold	Withhold
1.10	Elect Director Jane J. Wang	Mgmt	For	Withhold	Withhold
1.11	Elect Director Marvin Zonis	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
3	Amend Omnibus Stock Plan	Mgmt	For	For	For
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For

Vistra Energy Corp.

Meeting Date: 04/29/2020

Country: USA

Primary Security ID: 92840M102

Record Date: 03/16/2020

Meeting Type: Annual

Ticker: VST

Shares Voted: 84,403

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Declassify the Board of Directors	Mgmt	For	For	For
	If Proposal 1 is Approved, Elect the Following 10 Directors:	Mgmt			
2.1	Elect Director Hilary E. Ackermann	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020

Location(s): NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Vistra Energy Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.2	Elect Director Arcilia C. Acosta	Mgmt	For	For	For
2.3	Elect Director Gavin R. Baiera	Mgmt	For	For	For
2.4	Elect Director Paul M. Barbas	Mgmt	For	For	For
2.5	Elect Director Lisa Crutchfield	Mgmt	For	For	For
2.6	Elect Director Brian K. Ferraioli	Mgmt	For	For	For
2.7	Elect Director Scott B. Helm	Mgmt	For	For	For
2.8	Elect Director Jeff D. Hunter	Mgmt	For	For	For
2.9	Elect Director Curtis A. Morgan	Mgmt	For	For	For
2.10	Elect Director John R. (JR) Sult	Mgmt	For	For	For
	If Proposal 1 is Not Approved, Elect the Following Four Directors:	Mgmt			
3.1	Elect Director Gavin R. Baiera	Mgmt	For	For	For
3.2	Elect Director Scott B. Helm	Mgmt	For	For	For
3.3	Elect Director Curtis A. Morgan	Mgmt	For	For	For
3.4	Elect Director John R. (JR) Sult	Mgmt	For	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
5	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For

Bristol-Myers Squibb Company**Meeting Date:** 05/05/2020**Record Date:** 03/16/2020**Country:** USA**Meeting Type:** Annual**Primary Security ID:** 110122108**Ticker:** BMY

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020

Location(s): NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Bristol-Myers Squibb Company**Shares Voted:** 56,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1A	Elect Director Peter J. Arduini	Mgmt	For	For	For
1B	Elect Director Robert Bertolini	Mgmt	For	For	For
1C	Elect Director Michael W. Bonney	Mgmt	For	For	For
1D	Elect Director Giovanni Caforio	Mgmt	For	For	For
1E	Elect Director Matthew W. Emmens	Mgmt	For	For	For
1F	Elect Director Julia A. Haller	Mgmt	For	For	For
1G	Elect Director Dinesh C. Paliwal	Mgmt	For	For	For
1H	Elect Director Theodore R. Samuels	Mgmt	For	For	For
1I	Elect Director Vicki L. Sato	Mgmt	For	For	For
1J	Elect Director Gerald L. Storch	Mgmt	For	For	For
1K	Elect Director Karen H. Vousden	Mgmt	For	For	For
1L	Elect Director Phyllis R. Yale	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	Against
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
4	Require Independent Board Chairman	SH	Against	For	For
5	Provide Right to Act by Written Consent	SH	Against	For	For

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020

Location(s): NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

GlaxoSmithKline Plc

Meeting Date: 05/06/2020**Country:** United Kingdom**Primary Security ID:** G3910J112**Record Date:** 03/16/2020**Meeting Type:** Annual**Ticker:** GSK**Shares Voted:** 80,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Remuneration Policy	Mgmt	For	Withhold	Withhold
4	Elect Sir Jonathan Symonds as Director	Mgmt	For	For	For
5	Elect Charles Bancroft as Director	Mgmt	For	For	For
6	Re-elect Emma Walmsley as Director	Mgmt	For	For	For
7	Re-elect Vindi Banga as Director	Mgmt	For	For	For
8	Re-elect Dr Hal Barron as Director	Mgmt	For	For	For
9	Re-elect Dr Vivienne Cox as Director	Mgmt	For	For	For
10	Re-elect Lynn Elsenhans as Director	Mgmt	For	For	For
11	Re-elect Dr Laurie Glimcher as Director	Mgmt	For	For	For
12	Re-elect Dr Jesse Goodman as Director	Mgmt	For	For	For
13	Re-elect Judy Lewent as Director	Mgmt	For	For	For
14	Re-elect Iain Mackay as Director	Mgmt	For	For	For
15	Re-elect Urs Rohner as Director	Mgmt	For	For	For
16	Reappoint Deloitte LLP as Auditors	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020

Location(s): NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

GlaxoSmithKline Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
17	Authorise the Audit & Risk Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
18	Authorise EU Political Donations and Expenditure	Mgmt	For	For	For
19	Authorise Issue of Equity	Mgmt	For	For	For
20	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
21	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
22	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
23	Approve the Exemption from Statement of the Name of the Senior Statutory Auditor in Published Copies of the Auditors' Reports	Mgmt	For	For	For
24	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

MGM Growth Properties LLC**Meeting Date:** 05/06/2020**Country:** USA**Primary Security ID:** 55303A105**Record Date:** 03/13/2020**Meeting Type:** Annual**Ticker:** MGP**Shares Voted:** 135,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Paul Salem	Mgmt	For	Against	Against
1b	Elect Director Michael J. Rietbrock	Mgmt	For	Against	Against
1c	Elect Director Thomas A. Roberts	Mgmt	For	Against	Against

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020

Location(s): NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

MGM Growth Properties LLC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1d	Elect Director Daniel J. Taylor	Mgmt	For	Against	Against
1e	Elect Director Corey I. Sanders	Mgmt	For	Against	Against
1f	Elect Director John M. McManus	Mgmt	For	Against	Against
1g	Elect Director Robert Smith	Mgmt	For	Against	Against
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

Entergy Corporation

Meeting Date: 05/08/2020

Country: USA

Primary Security ID: 29364G103

Record Date: 03/09/2020

Meeting Type: Annual

Ticker: ETR

Shares Voted: 8,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director John R. Burbank	Mgmt	For	For	For
1b	Elect Director Patrick J. Condon	Mgmt	For	For	For
1c	Elect Director Leo P. Denault	Mgmt	For	For	For
1d	Elect Director Kirkland H. Donald	Mgmt	For	For	For
1e	Elect Director Philip L. Frederickson	Mgmt	For	For	For
1f	Elect Director Alexis M. Herman	Mgmt	For	For	For
1g	Elect Director M. Elise Hyland	Mgmt	For	For	For

Vote Summary Report

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 Location(s): NWQ Investment Management Company, LLC
 Institution Account(s): Sun Life NWQ Flexible Income Fund

Entergy Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1h	Elect Director Stuart L. Levenick	Mgmt	For	For	For
1i	Elect Director Blanche Lambert Lincoln	Mgmt	For	For	For
1j	Elect Director Karen A. Puckett	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

FirstEnergy Corp.

Meeting Date: 05/19/2020

Country: USA

Primary Security ID: 337932107

Record Date: 03/20/2020

Meeting Type: Annual

Ticker: FE

Shares Voted: 71,500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Michael J. Anderson	Mgmt	For	For	For
1.2	Elect Director Steven J. Demetriou	Mgmt	For	For	For
1.3	Elect Director Julia L. Johnson	Mgmt	For	For	For
1.4	Elect Director Charles E. Jones	Mgmt	For	For	For
1.5	Elect Director Donald T. Misheff	Mgmt	For	For	For
1.6	Elect Director Thomas N. Mitchell	Mgmt	For	For	For
1.7	Elect Director James F. O'Neil, III	Mgmt	For	For	For
1.8	Elect Director Christopher D. Pappas	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020

Location(s): NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

FirstEnergy Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.9	Elect Director Sandra Pianalto	Mgmt	For	For	For
1.10	Elect Director Luis A. Reyes	Mgmt	For	For	For
1.11	Elect Director Leslie M. Turner	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Approve Omnibus Stock Plan	Mgmt	For	For	For
5	Amend Code of Regulations to Authorize Board to Make Certain Future Amendments	Mgmt	For	For	For
6	Amend Proxy Access Right	SH	Against	For	For

Nordstrom, Inc.

Meeting Date: 05/20/2020

Country: USA

Primary Security ID: 655664100

Record Date: 03/11/2020

Meeting Type: Annual

Ticker: JWN

Shares Voted: 21,700

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Shellye L. Archambeau	Mgmt	For	For	For
1b	Elect Director Stacy Brown-Philpot	Mgmt	For	For	For
1c	Elect Director Tanya L. Domier	Mgmt	For	For	For
1d	Elect Director James L. Donald	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020

Location(s): NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Nordstrom, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1e	Elect Director Kirsten A. Green	Mgmt	For	For	For
1f	Elect Director Glenda G. McNeal	Mgmt	For	For	For
1g	Elect Director Erik B. Nordstrom	Mgmt	For	For	For
1h	Elect Director Peter E. Nordstrom	Mgmt	For	For	For
1i	Elect Director Brad D. Smith	Mgmt	For	For	For
1j	Elect Director Bradley D. Tilden	Mgmt	For	For	For
1k	Elect Director Mark J. Tritton	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	Against	Against
5	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For	For

Ares Capital Corporation

Meeting Date: 06/22/2020

Country: USA

Primary Security ID: 04010L103

Record Date: 03/25/2020

Meeting Type: Annual

Ticker: ARCC

Shares Voted: 50,937

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Michael J Arougheti	Mgmt	For	Against	Against
1b	Elect Director Ann Torre Bates	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2020 to 06/30/2020

Location(s): NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Ares Capital Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1c	Elect Director Steven B. McKeever	Mgmt	For	Against	Against
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For