

*Sun Life Nuveen Flexible Income Fund
(formerly, Sun Life NWQ Flexible Income Fund)

Vote Summary Report

Date range covered: 07/01/2021 to 09/30/2021

Ares Capital Corporation

Meeting Date: 08/13/2021

Country: USA

Primary Security ID: 04010L103

Record Date: 06/04/2021

Meeting Type: Special

Ticker: ARCC

Shares Voted: 61,037

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Issuance of Shares Below Net Asset Value (NAV)	Mgmt	For	For	For

*Nuveen, formerly NWQ Investment Management Company, LLC (“NWQ”) acts as a sub-advisor to the Manager for Sun Life Nuveen Flexible Income Fund, pursuant to a sub-advisory agreement between the Manager and Nuveen’s affiliate and predecessor sub-advisor, NWQ, the rights and obligations of which were assumed by Nuveen pursuant to an assignment and assumption agreement as between Nuveen and NWQ effective December 31, 2021.

*Sun Life Nuveen Flexible Income Fund
(formerly, Sun Life NWQ Flexible Income Fund)

Vote Summary Report

Date range covered : 10/01/2021 to 12/31/2021

BHP Group Plc

Meeting Date: 10/14/2021

Country: United Kingdom

Primary Security ID: G10877127

Record Date: 09/10/2021

Meeting Type: Annual

Ticker: BHP

Shares Voted: 13,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Management Proposals	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Authorise the Risk and Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Authorise Issue of Equity	Mgmt	For	For	For
5	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
6	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
7	Approve Remuneration Report for UK Law Purposes	Mgmt	For	For	For
8	Approve Remuneration Report for Australian Law Purposes	Mgmt	For	For	For
9	Approve Grant of Awards under the Group's Incentive Plans to Mike Henry	Mgmt	For	For	For
10	Re-elect Terry Bowen as Director	Mgmt	For	For	For
11	Re-elect Malcolm Broomhead as Director	Mgmt	For	For	For
12	Re-elect Xiaoqun Clever as Director	Mgmt	For	For	For
13	Re-elect Ian Cockerill as Director	Mgmt	For	For	For
14	Re-elect Gary Goldberg as Director	Mgmt	For	For	For

*Nuveen, formerly NWQ Investment Management Company, LLC (“NWQ”) acts as a sub-advisor to the Manager for Sun Life Nuveen Flexible Income Fund, pursuant to a sub-advisory agreement between the Manager and Nuveen’s affiliate and predecessor sub-advisor, NWQ, the rights and obligations of which were assumed by Nuveen pursuant to an assignment and assumption agreement as between Nuveen and NWQ effective December 31, 2021.

*Sun Life Nuveen Flexible Income Fund
(formerly, Sun Life NWQ Flexible Income Fund)

Vote Summary Report

Date range covered : 10/01/2021 to 12/31/2021

BHP Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
15	Re-elect Mike Henry as Director	Mgmt	For	For	For
16	Re-elect Ken MacKenzie as Director	Mgmt	For	For	For
17	Re-elect John Mogford as Director	Mgmt	For	For	For
18	Re-elect Christine O'Reilly as Director	Mgmt	For	For	For
19	Re-elect Dion Weisler as Director	Mgmt	For	For	For
20	Approve the Climate Transition Action Plan Shareholder Proposals	Mgmt	For	For	For
21	Amend Constitution of BHP Group Limited	SH	Against	Against	Against
22	Approve Climate-Related Lobbying	SH	For	For	For
23	Approve Capital Protection	SH	Against	Against	Against

Medtronic plc

Meeting Date: 12/09/2021

Record Date: 10/14/2021

Country: Ireland

Meeting Type: Annual

Shares Voted: 22,000

Primary Security ID: G5960L103

Ticker: MDT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Richard H. Anderson	Mgmt	For	For	For
1b	Elect Director Craig Arnold	Mgmt	For	For	For
1c	Elect Director Scott C. Donnelly	Mgmt	For	For	For

*Nuveen, formerly NWQ Investment Management Company, LLC (“NWQ”) acts as a sub-advisor to the Manager for Sun Life Nuveen Flexible Income Fund, pursuant to a sub-advisory agreement between the Manager and Nuveen’s affiliate and predecessor sub-advisor, NWQ, the rights and obligations of which were assumed by Nuveen pursuant to an assignment and assumption agreement as between Nuveen and NWQ effective December 31, 2021.

*Sun Life Nuveen Flexible Income Fund
(formerly, Sun Life NWQ Flexible Income Fund)

Vote Summary Report

Date range covered : 10/01/2021 to 12/31/2021

Medtronic plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1d	Elect Director Andrea J. Goldsmith	Mgmt	For	For	For
1e	Elect Director Randall J. Hogan, III	Mgmt	For	For	For
1f	Elect Director Kevin E. Lofton	Mgmt	For	For	For
1g	Elect Director Geoffrey S. Martha	Mgmt	For	For	For
1h	Elect Director Elizabeth G. Nabel	Mgmt	For	For	For
1i	Elect Director Denise M. O'Leary	Mgmt	For	For	For
1j	Elect Director Kendall J. Powell	Mgmt	For	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Approve Omnibus Stock Plan	Mgmt	For	For	For
6	Renew the Board's Authority to Issue Shares Under Irish Law	Mgmt	For	For	For
7	Renew the Board's Authority to Opt-Out of Statutory Pre-Emptions Rights Under Irish Law	Mgmt	For	For	For
8	Authorize Overseas Market Purchases of Ordinary Shares	Mgmt	For	For	For

Royal Dutch Shell Plc

Meeting Date: 12/10/2021

Country: United Kingdom

Primary Security ID: G7690A100

Record Date: 11/26/2021

Meeting Type: Special

Ticker: RDSA

*Nuveen, formerly NWQ Investment Management Company, LLC (“NWQ”) acts as a sub-advisor to the Manager for Sun Life Nuveen Flexible Income Fund, pursuant to a sub-advisory agreement between the Manager and Nuveen’s affiliate and predecessor sub-advisor, NWQ, the rights and obligations of which were assumed by Nuveen pursuant to an assignment and assumption agreement as between Nuveen and NWQ effective December 31, 2021.

*Sun Life Nuveen Flexible Income Fund
(formerly, Sun Life NWQ Flexible Income Fund)

Vote Summary Report

Date range covered : 10/01/2021 to 12/31/2021

Royal Dutch Shell Plc

Shares Voted: 21,800

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Adopt New Articles of Association	Mgmt	For	For	For

Cisco Systems, Inc.

Meeting Date: 12/13/2021

Record Date: 10/15/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 17275R102

Ticker: CSCO

Shares Voted: 50,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director M. Michele Burns	Mgmt	For	For	For
1b	Elect Director Wesley G. Bush	Mgmt	For	For	For
1c	Elect Director Michael D. Capellas	Mgmt	For	For	For
1d	Elect Director Mark Garrett	Mgmt	For	For	For
1e	Elect Director John D. Harris, II	Mgmt	For	For	For
1f	Elect Director Kristina M. Johnson	Mgmt	For	For	For
1g	Elect Director Roderick C. McGeary	Mgmt	For	For	For
1h	Elect Director Charles H. Robbins	Mgmt	For	For	For
1i	Elect Director Brenton L. Saunders	Mgmt	For	For	For

*Nuveen, formerly NWQ Investment Management Company, LLC (“NWQ”) acts as a sub-advisor to the Manager for Sun Life Nuveen Flexible Income Fund, pursuant to a sub-advisory agreement between the Manager and Nuveen’s affiliate and predecessor sub-advisor, NWQ, the rights and obligations of which were assumed by Nuveen pursuant to an assignment and assumption agreement as between Nuveen and NWQ effective December 31, 2021.

*Sun Life Nuveen Flexible Income Fund
(formerly, Sun Life NWQ Flexible Income Fund)

Vote Summary Report

Date range covered : 10/01/2021 to 12/31/2021

Cisco Systems, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1j	Elect Director Lisa T. Su	Mgmt	For	For	For
1k	Elect Director Marianna Tessel	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Amend Proxy Access Right	SH	Against	For	For

Athene Holding Ltd.

Meeting Date: 12/21/2021

Record Date: 11/04/2021

Country: Bermuda

Meeting Type: Special

Primary Security ID: G0684D107

Ticker: ATH

Shares Voted: 98,710

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	Refer	For
2	Adjourn Meeting	Mgmt	For	Refer	For

*Nuveen, formerly NWQ Investment Management Company, LLC (“NWQ”) acts as a sub-advisor to the Manager for Sun Life Nuveen Flexible Income Fund, pursuant to a sub-advisory agreement between the Manager and Nuveen’s affiliate and predecessor sub-advisor, NWQ, the rights and obligations of which were assumed by Nuveen pursuant to an assignment and assumption agreement as between Nuveen and NWQ effective December 31, 2021.